DT07 Rec'd PCT/PTO 1 4 DEC 2004

PTO-1390 (Rev. 12-2004)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| TRANSMITTAL LETTER TO | ATTORNEY'S DOCKET NUMBER | | | | | | | | | | |
|--|---|---|--|--|--|--|--|--|--|--|--|
| DESIGNATED/ELECTED | 3449-0413PUS1 | | | | | | | | | | |
| CONCERNING A SUBMISSION | , | U.S. PPICCA IGIT NO. (ILLINOWN, SEE ST CFR 1.5) | | | | | | | | | |
| INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | | | |
| PCT/KR2003/001668 TITLE OF INVENTION NITRIDE SEM | 19 August 2003 | 19 August 2002 | | | | | | | | | |
| TITLE OF INVENTION NITRIDE SEMICONDUCTOR LED AND FABRICATION METHOD THEREOF | | | | | | | | | | | |
| <u>.</u> | Hun LEE | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | |
| 1. X This is a FIRST submission of ite | 1. x This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| 2 This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | | |
| This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | | |
| 4. The US has been elected (Article 31). | | | | | | | | | | | |
| 5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | | | | | | |
| a. is attached hereto (required | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| b. x has been communicated by | b. x has been communicated by the International Bureau. | | | | | | | | | | |
| c. is not required, as the applica | | | | | | | | | | | |
| 6. x An English language translation | x An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). | | | | | | | | | | |
| a. x is attached hereto. | a. x is attached hereto. | | | | | | | | | | |
| b. has been previously submitte | | | | | | | | | | | |
| 7. x Amendments to the claims of the | e International Application under PCT A | Article 19 (35 U.S.C. 371 (c)(3)) | | | | | | | | | |
| a. are attached hereto (required | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| b. have been communicated by | the International Bureau. | | | | | | | | | | |
| c. have not been made; however | H | | | | | | | | | | |
| d. x have not been made and will | not be made. | | | | | | | | | | |
| 8. An English language translation of | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | | | | |
| 9. x An oath or declaration of the inve | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | | | | | | |
| 10. An English language translation Article 36 (35 U.S.C. 371 (c)(5)). | | eliminary Examination Report under PCT | | | | | | | | | |
| Items 11 to 20 below concern docume | ent(s) or information included: | | | | | | | | | | |
| 11. x An Information Disclosure Stater | ment under 37 CFR 1.97 and 1.98. | | | | | | | | | | |
| 12. x An assignment document for record | rding. A separate cover sheet in complian | nce with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | |
| 13. A preliminary amendment. | | | | | | | | | | | |
| 14. An Application Data Sheet under | · 37 CFR 1.76. | | | | | | | | | | |
| 15. A substitute specification. | | | | | | | | | | | |
| 16. A power of attorney and/or chang | ge of address letter. | | | | | | | | | | |
| 17. A computer-readable form of the | sequence listing in accordance with PC | T Rule 13 <i>ter</i> .2 and 37 CFR 1.821 - 1.825. | | | | | | | | | |
| 18. A second copy of the published I | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 19. A second copy of the English lan | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 0. x Other items or information: PCT/IB/308; PCT/ISA/210; Drawings - Three (3) Sheets | | | | | | | | | | | |
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| U.S. APPLICATION NO. ([Known See 37 CER 1.5] INTERNATIONAL APPLICATION NO. PCT/KR2003/001668 | | | | | | ATTORNEY'S DOCKET NUMBER 3449-0413PUS1 | | | | |
|---|--|------------|---------|--------------------|--------------|--|------------------------|---------------------|-----|--|
| 21. The following fees are submitted: | | | | | | | | | | |
| x a) Basic national fee\$300.00 | | | | | | | s | 300.00 | | |
| x b) Examination fee\$200.00 | | | | | | \$ | 200.00 | | | |
| x c) Search fee\$500.00 | | | | | | \$ | 500.00 | | | |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | | | | | | \$ | 1,000.00 | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | |
| Total Sheets | Number of each additional 50 or fraction | | | | | | | | | |
| 16 - 100 = | /50 = | = | | | | x \$250.00 | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). | | | | | | | \$ | | | |
| CLAIMS | NUMBER FILED NUMBER EXTRA RATE | | | ATE | | | | | | |
| Total claims | | 20 = | | | x | | \$ | 0.00 | | |
| Independent clain | | -3= | | 1 | x | 200.00 | \$ | 200.00 | | |
| MULTIPLE DEPE | NDENT CLAIM(s) | (if applic | | | + | | \$ | | | |
| Applicant of | laims small entity s | tatus S | | CER 1.27 Tho f | | | \$ 1,200.00 | | | |
| are reduced | | | | OTTO 1.27. THE I | | | | \$ | | |
| | **** | | | | | STOTAL = | \$ 1,200.00 | | | |
| | \$130.00 for furnishi | - | - | | in 30 montr | 1S + | \$ | | | |
| from the earliest claimed priority date (37 CFR 1.492 (f)). + TOTAL NATIONAL FEE = | | | | | | \$ 1,200.00 | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | | | \$ 40.00 | | | |
| | | | | | | \$ 1,240.00 | | | | |
| | | | | | | | Amount to be refunded: | ре | \$ | |
| | | | | | | | Amount to b | е | \$ | |
| a. X A chec | k in the amount of | \$ | 1 | ,240.00 | to cover th | e above fees | | | | |
| | | | | | | | | | | |
| b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| c. x The Co | ommissioner is her | eby auti | norized | d to charge any ac | dditional fe | es which may | be required, | or credit a | nny | |
| overpayment to Deposit Account No. 02-2448 . A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1137 (a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | | | |
| | | | | | SIGHT | ÄTÜRÉ: ' | ames T. Elle | <i>V</i> er, Jr. | | |
| CUSTOMER NUMBER: 02292 NAME | | | | | | | | | | |
| December 14, 2004 | | | | | | 39,538 | | | | |
| /clb | | | | | | | | | | |
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